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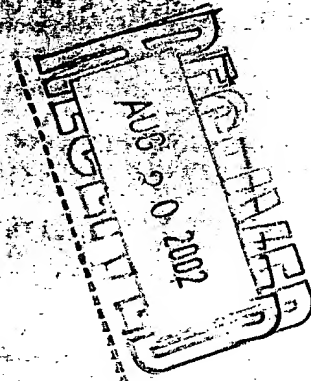
Technology Center 2100

PATENT 8-20-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : James B. Pritchard, et al.  
U.S. Serial No. : 09/847,757  
Filed : May 2, 2001  
For : APPARATUS AND METHOD FOR PROTECTING A  
COMPUTER SYSTEM AGAINST COMPUTER VIRUSES AND  
UNAUTHORIZED ACCESS  
Group No. : 2131  
Examiner : (Not Yet Assigned)

Commissioner for Patents  
Washington, D.C. 20231



CERTIFICATE OF MAILING BY FIRST CLASS MAIL

Sir:

The undersigned hereby certifies that the following documents:

1. Information Disclosure Statement;
2. Form PTO-1449;
3. Four (4) references as cited on Information Disclosure Statement;
4. Copy of International Search Report issued in PCT Application No. PCT/US02/14985; and
5. A postcard receipt;

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to Commissioner for Patents, Washington, D.C. 20231, on Aug 2, 2002.

Date: Aug. 2, 2002

Kathy Hamilton  
Mailer

Date: August 2, 2002

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Commissioner for Patents  
Washington, D. C. 20231

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449. Certain of these references were located during the International Search Report issued in the related PCT Patent Application No. PCT/US02/14985, a copy of which is attached hereto. Copies of the listed references are submitted herewith.

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DOCKET NO. PRIT01-00003  
U.S. SERIAL NO. 09/847,757  
PATENT

| <u>U.S. Patent No.</u> | <u>Inventor</u> | <u>Date</u> |
|------------------------|-----------------|-------------|
| 5,960,170              | Chen, et al     | 09/28/1999  |
| 5,842,002              | Schnurer, et al | 11/24/1998  |
| 5,832,208              | Chen, et al     | 11/03/1998  |

Other References

BRADWELL, SECURITY FOR SINGLE PCs OR NETWORKS, PC USER, 07  
September, 1994, P. 93

Applicant hereby expressly reserves the right to swear behind the effective dates of any of  
the above Patents and to question the relevance and materiality of the Patents and Publications listed  
herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure  
Statement.

Respectfully submitted,

DAVIS MUNCK, P.C.

Date: \_\_\_\_\_

August 2, 2002



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FORM PTO-1449  
(REV. 7.80)U.S. DEPARTMENT OF COMMERCE  
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ORIGINALLY FILEDLIST OF REFERENCES CITED BY APPLICANT  
(Use several sheets if necessary)APPLICANT  
James B. Pritchard, et alFILING DATE  
May 2, 2001GROUP  
2131

## U. S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE       | NAME           | CLASS | SUBCLASS | FILING DATE<br>(if<br>appropriate) |
|----------------------|----|-----------------|------------|----------------|-------|----------|------------------------------------|
|                      | AA | 5,960,170       | 09/28/1999 | Chen et al     | 395   | 183.14   | 03/18/1997                         |
|                      | AB | 5,842,002       | 11/24/1998 | Schnurer et al | 395   | 500      | 05/30/1997                         |
|                      | AC | 5,832,208       | 11/03/1998 | Chen et al     | 395   | 187.01   | 09/05/1996                         |
|                      |    |                 |            |                |       |          |                                    |
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## FOREIGN PATENT DOCUMENTS

|  |  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|--|-----------------|------|---------|-------|----------|-------------|----|
|  |  |                 |      |         |       |          | YES         | NO |
|  |  |                 |      |         |       |          |             |    |
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|  |  |                 |      |         |       |          |             |    |
|  |  |                 |      |         |       |          |             |    |
|  |  |                 |      |         |       |          |             |    |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |   |
|--|----|---|
|  | AD | BRADWELL, SECURITY FOR SINGLE PCs OR NETWORKS, PC USER, 07 September, 1994, p. 93 |
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EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line if not in conformance and not considered. Include copy of this form with next communication to applicant.